Financial & Tax Architects

Account Allocation Request Form

Account Name: ______________________________ Account Number: ________________

Email Address: ______________________________ Advisor: ________________________

Account Type				Instruction Type		
☐ Qualified (IRA, Roth, BDA, 401k, etc.)	☐ Non-Qualified (Individual/ Joint)	☐ Entity (Trust, Corp., FLP, etc)	☐ Other ______________	☐ New Investment	☐ Addt'l Funds	☐ Re-Allocation

Lower Risk Strategies			
Investment Name	**Min. Investment**	**%**	**Mgmt Fee**
☐ Strategic Hedged Income	$1,000	__________	☐ 0.75%
☐ High Yield Corporate Bond	$1,000	__________	☐ 0.75%
☐ Strategic Enhanced Bond	$1,000	__________	☐ 0.75%
☐ Sleep Well Bond	$1,000	__________	☐ 0.75%
Moderate Risk Strategies			
Investment Name	**Min. Investment**	**%**	**Mgmt Fee**
☐ Foundation	$3,000	__________	☐ 0.75%
☐ U. S. Prime Dividend	$20,000	__________	☐ 0.75%
☐ Prime Dividends Jr.	$1,000	__________	☐ 0.75%
☐ Global Sector	$1,000	__________	☐ 0.75%
☐ Prime Dividend International	$10,000	__________	☐ 0.75%
☐ Economic Cycle	$1,000	__________	☐ 0.75%
☐ Strategic Mid Cap	$1,000	__________	☐ 0.75%
☐ Country Rotation	$5,000	__________	☐ 0.75%
☐ Employment Trends	$1,000	__________	☐ 0.75%
☐ Sector Rotation	$5,000	__________	☐ 0.75%
Growth Strategies			
Investment Name	**Min. Investment**	**$ or %**	**Mgmt Fee**
☐ NASDAQ Leaders	$20,000	__________	☐ 0.75%
☐ Value Discount	$1,000	__________	☐ 0.75%
☐ Reflation Strategy	$1,000	__________	☐ 0.75%
☐ Broad Value	$1,000	__________	☐ 0.75%
Total Investment:	______________________________		

Low Risk

			%	**Mgmt Fee**
☐	**Sleeve 1**		________	0.75%
	1/3	High Yield Corporate Bond		
	1/3	Sleep Well Bond		
	1/3	Strategic Enhanced Bond		
☐	**Sleeve 2**		________	0.75%
	1/3	High Yield Corporate Bond		
	1/3	Sleep Well Bond		
	1/3	Foundation Portfolio		
☐	**Sleeve 3**		________	0.75%
	1/3	Sleep Well Bond		
	1/3	Foundation Portfolio		
	1/3	US Prime Dividend		
☐	**Sleeve 4**		________	0.75%
	1/3	Foundation Portfolio		
	1/3	US Prime Dividend		
	1/3	Economic Cycle		
☐	**Sleeve 5**		________	0.75%
	1/3	US Prime Dividend		
	1/3	Economic Cycle		
	1/3	Global Sector		
☐	**Sleeve 6**		________	0.75%
	1/3	Economic Cycle		
	1/3	Global Sector		
	1/3	Value Discount		
☐	**Sleeve 7**		________	0.75%
	1/3	Global Sector		
	1/3	Value Discount		
	1/3	US Prime Dividend		
☐	**Sleeve 8**		________	0.75%
	1/3	Prime Dividend International		
	1/3	Country Rotation		
	1/3	Economic Cycle		

Moderate Risk

Held Positions:			
Ticker:	____________	Ticker:	____________
Ticker:	____________	Ticker:	____________
Ticker:	____________	Ticker:	____________

Client Signature: ______________________ Date: ______________

Client Signature: ______________________ Date: ______________

Advisor Signature: ______________________ Date: ______________

Disclosures: *I acknowledge that Financial & Tax Architects, Inc. (FTA) is serving solely as a Sub-Advisor. FTA makes no suitability determinations at the client level and relies only on the individual advisor's responsibility to conduct a proper review of a client's risk tolerance and investment horizon, among other factors, before the advisor makes a recommendation to invest in these strategies. Client and Advisor acknowledge that FTA solely provides the trading and reporting information to advisors and investors based on FTA's strategies, without regard to an individual client's financial situation. FTA will be investing solely on the instructions confirmed here in. FTA is a registered investment advisor.*